



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser,
Jianzhu Chen, Herman N. Eisen and Richard A. Young

Application No.: 09/761,534

Group: 1645

Filed: January 16, 2001

Examiner: Not Assigned

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a
Discrete Domain and is CD4⁺ T Cell-Independent

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Box Sequence, P.O. Box 2327, Arlington, VA 22202	
on <u>3-19-02</u>	<u>Jenine Crump</u>
Date	Signature
<u>Jenine Crump</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SUBSTITUTE SEQUENCE LISTING

Assistant Commissioner for Patents
Box Sequence, P.O. Box 2327
Arlington, VA 22202

Sir:

This amendment is submitted in response to the Notice to Comply with
Sequence Requirements mailed from the Patent Office on November 28, 2001. A copy
of the Notice to Comply is enclosed.

Applicant's Attorney requests a two- month extension of time to respond to the
Notice. A Petition for Extension of Time and the appropriate fees are being filed
concurrently.

Transmitted herewith is a copy of a Substitute "Sequence Listing" in paper form
(sheets 1/16 through 16/16) for the above-identified patent application as required by 37

C.F.R. §§ 1.825(a) and 1.821(c), and a copy of the Substitute "Sequence Listing" in computer readable form as required by 37 C.F.R. §§ 1.825(b) and 1.821(e).

As required by 37 C.F.R. § 1.825(b), Applicant's Attorney hereby states that the contents of the Substitute "Sequence Listing" in paper form and in the computer readable form submitted herewith are the same and, as required by 37 C.F.R. § 1.825(a), also states that the submission includes no new matter.

In the Specification

Please replace the "Sequence Listing" filed on September 27, 2001 (sheets 1/16 through 16/16) with the attached Substitute "Sequence Listing" (sheets 1/16 through 16/16) comprising SEQ ID NOS: 1-25.

Respectfully submitted,

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Date: *March 19, 2012*



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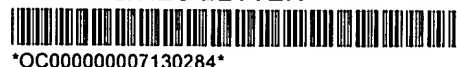
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/761,534	01/16/2001	Qian Huang	0399.2006-003

CONFIRMATION NO. 5869

21005
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FORMALITIES LETTER



OC000000007130284

Date Mailed: 11/28/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE